



# Innovations Waiver

Presentation to Next Steps

April 16, 2016

# NC Innovations

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- One of three NC Medicaid waivers (CAP-C, CAP-DA)
- No age restriction
- Must be enrolled in Medicaid
- Support needs safely met within the cap of \$135,000
- Must use at least one waiver service per month
- Choose to be on the waiver and not in ICF-IDD

# NC Innovations Purpose

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NC Innovations was designed to:

- Support participants to be fully functioning members of their community
- Offer service options to support participants living where they want and having meaningful work and community participation
- Offer the opportunity to self-direct their services
- Provide natural supports education

# Waiting List

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- Called the Registry of Unmet Needs
- Prioritized by date and time of referral
- Time waiting depends on availability of slots by state
- Maintained by county by IDD Access department
- Currently over 2300 individuals on the Registry

# B(3) Medicaid Services

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Maintained by IDD Access:

- Respite
- Community Guide
- Supported Employment

\*Medicaid Personal Care may be available

# B(3) Community Guide

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- Available to NC Innovations enrollees and those with I/DD having Medicaid
- Provides the following supports:
  - Advocacy
  - Linkage to community resources
  - Assistance obtaining medical care

# B(3) Community Guide

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- Provides the following supports:
  - Advocacy
  - Linkage to community resources
  - Assistance obtaining medical care

# State Funded Services

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- Respite
- Personal Assistance
- Supported Employment
- Developmental Therapy
- Group/Supervised Living
- Vocational/Day Activity



# Eligibility Determination

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- When an NC Innovations slot is available, the Level of Care (LOC) process is completed for the next person on the Registry of Unmet Needs
- The LOC is completed by a Psychologist or Medicaid doctor who is not an Alliance employee
- The LOC is submitted to Alliance to determine eligibility

# Access & Information

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IDD Access Coordinators available by calling  
(919) 651-8400:

- Screening and eligibility for new applicants
- General information about IDD services
- Answers to questions and concerns from parents, guardians and individuals

# Access & Information

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- Referrals to paid and non-paid supports
- Information about Innovations Registry of Unmet Needs and Waiting List for State services
- Dispatching crisis providers

# Slot Allocation

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## New Slots

- Allocated by the state
- Distributed among 4 counties by population and waitlist size

## Reserve Slots

- CAP/C Age Out (2)
- Emergency (7)
- Money Follows the Person (10)

## Rollover slots

- Terminated and transferred slots are refilled at beginning of new waiver year (Aug 1<sup>st</sup>)

# Individual Support Plan Development

The Individual Support Plan (ISP) documents the participant's strengths, preferences, and needs for support

- Developed by ISP Planning Team
- Driven by the participant/guardian to the extent possible
- Completed at the time of enrollment and annually thereafter

# Individual Support Plan Development

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- Identifies the Long Range Outcomes to be achieved as a result of services
- Supports the need for services requested
- Monitored throughout the year to identify progress and/or need for revision

# IDD Care Coordination

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- Care Coordinators and Supervisors work for Alliance and located at each county office
- Everyone on Innovations has a Care Coordinator
- Those with crisis or extraordinary needs also may have a Care Coordinator short term
- Do not need to have a Care Coordinator to get B(3) or state services or to be on the Registry of Unmet Needs

# Current Innovations Services

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- Residential Supports
- In-Home Skill Building
- In-Home Intensive Supports
- Respite
- Personal Care
- Community Networking



# Current Innovations Services

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- Day Supports
- Supported Employment
- Assistive Technology Equipment/Supplies
- Home Modifications
- Vehicle Modifications
- Community Transition

# Current Innovations Services

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- Community Guide
- Crisis Services
- Natural Supports Education
- Specialized Consultation Services
- Individual Goods and Services

# Self Direction

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## Agency with Choice

- Provider retains responsibility of employer
- Participant/legally responsible person is responsible for the following elements:
  - Person Centered Planning
  - Individual Budgeting
  - Participant Directed Services
  - Quality Assurance/improvement

# Self Direction

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## Employer of Record

- Participant directs some or all services
- Chooses and hires/fires staff
- Legally exercises authority over staff
- Sets employee schedules/routines
- Establishes pay level, bonuses, benefits

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# Innovations Waiver Amendment

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# Implementation

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- Implementation contingent upon CMS approval.
- DMA requested a start date of April 1, 2016, but has since requested from CMS that the implementation be moved to **July 1, 2016**.
- Two year phase-in of individual budgets.

# Resource Allocation

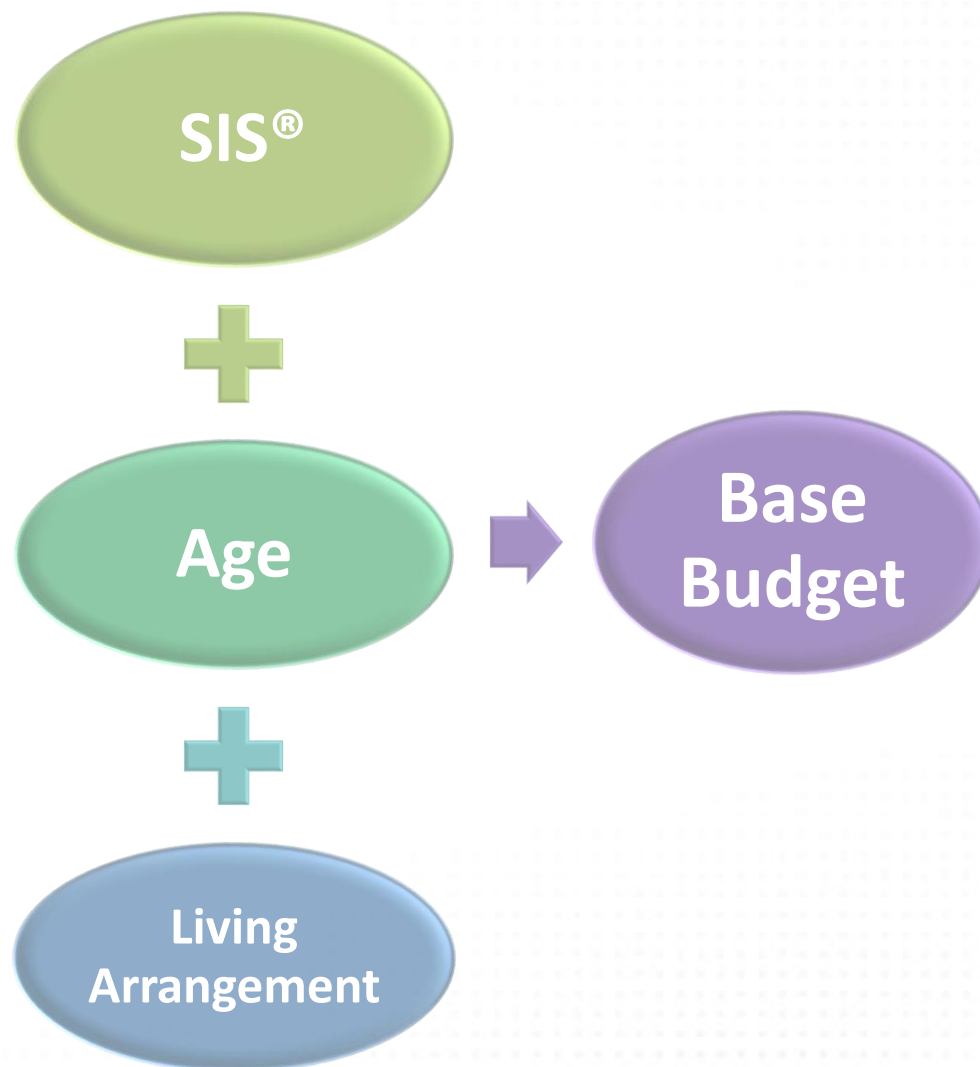
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## Supports Intensity Scale:

- **Section 1** asks about the individual's support needs for home living, community living, lifelong learning, employment, health & safety and social activities.
- **Section 2** asks about the individual's support needs for speaking up for him/her self and others (advocacy), managing money, making choices and staying safe.
- **Section 3** asks about the individual's support needs for medical and behavioral challenges.

# Resource Allocation

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# Resource Allocation

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- **Living Arrangement** breaks the population into:
    - (1) Individuals receiving Residential/Supported Living
    - (2) Individuals NOT in Residential/Supported Living.
  - **Age\*** breaks the population into:
    - (1) Individuals under age 22
    - (2) Individuals 22 and over
- \*will be determined by school-status regardless of age

Living situation and Age/School status

Categories

Child Non-Residential

Child Residential

Adult Non-Residential

Adult Residential

Levels

A  
B  
C  
D  
E  
F  
G

A  
B  
C  
D  
E  
F  
G

A  
B  
C  
D  
E  
F  
G

A  
B  
C  
D  
E  
F  
G

SIS

# How are levels determined?

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1. Each individual will be assigned a support level based on his/her support needs.
2. The support needs are indicated by the SIS which assigns the individual to a level.
3. Levels for base budget services were developed through a detailed process.

# How are Levels Assigned?

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- The Human Services Research Institute (HSRI) will assign the Individual Budgets using the Individual Budget Tool
- How will this happen?
  - The MCOs conduct the Supports Intensity Scale (SIS)
  - The MCOs upload the SIS data to SIS Online
  - HSRI accesses SIS Online and applies an algorithm based on age, SIS, and Residential Setting
    - This generates a Level of Support and individual base budget
  - The MCO accesses the Individual Budgets/Levels and generates the Individual Base Budget Letter.

# Base Budget Services

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Community Living and Supports **\*\*New**

Community Networking Services

Day Supports

Respite

Supported Employment

# Non-Base Budget Services (Add-on)

Assistive Technology Equipment and Supplies

Community Navigator **\*\*New**

Community Transition Services

Crisis Services

Home Modifications/Van Modifications

Individual Goods and Services

Natural Supports Education

Specialized Consultation Services

# Non-Base Budget Services

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Financial Support Services-do not count towards base budget or annual budget maximum.

Residential Supports-do not count towards base budget.

Supported Living (\*\*New) -do not count towards base budget.

# Important Changes

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## Increase flexibility of services

- Community Living and Supports– a new service which blends personal care and habilitation
- Supported Living – a new service for individuals who choose to rent or own their own home and receive services for up to 24 hours/day
- Respite – making available to individuals residing in alternative family living situations (AFLs)



# New Services

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- Community Living and Supports
- Supported Living
- Community Navigator

# Community Living and Supports

## Community Living and Supports:

- New Blended Service
- Combines current Personal Care, In-Home Skill Building and In-Home Intensive services
- This more comprehensive category will allow for habilitation, supervision and support, and will be more flexible

# Community Living and Supports

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## ➤ COVERABLE ACTIVITIES/TASKS

- Support in learning new skills and/or improvement of existing skills
- Provide for supervision and assistance for the member to complete activities to his/her level of independence
- Incidental technical assistance to unpaid supports who live in the home of the member as requested/suggested by the planning team

# Supported Living

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- New Service
- Daily service for individuals who live in their own home without licensure-up to 3 people
- The house or apartment is not to be owned or rented by the provider
- May include a Live-in Caregiver. If a live-in caregiver is needed, only 2 individuals may live in the home.
- Includes a Special Needs adjustment

# Supported Living

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## ➤ SCOPE/INTENT

- ✓ To support successful community living in unlicensed residences owned or leased by the member

## ➤ COVERED ACTIVITIES/TASKS

- Provides a flexible partnership that enables the member to live in his/her own home with support from an agency that provides individualized assistance in a home that is under the control and responsibility of the member
- Provides direct assistance as needed with activities of daily living, household chores essential to the health and safety of the member, budget management, attending appointments and interpersonal and social skills building to enable the member to live in a home in the community

# Community Navigator

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- New Service (Formerly Community Guide)
- Annual Informational Session on Self Direction and Self Determination
- Promotes Self Determinations
- Promotes Self-Direction
- Develops Community Connections

# Community Navigator

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## ➤ COVERABLE ACTIVITIES/TASKS

- Supporting the person in preparing, participating in and implementing plans of any type including IEPs, ISPs or other service plans outside NC Innovations
- Support the person in the person-centered planning process (i.e. development of ELP, MAPs, Circles, etc.)

# Community Navigator

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## ➤ COVERABLE ACTIVITIES/TASKS

- Assistance with guardianship, restoration of rights, supplemental security income issues, disability determination issues, Department of Social Services issues, financial/legal planning
- Support in identifying community resources that offer opportunities for the member, expand social relationships and build connections
- Assistance with locating and accessing non-Medicaid community supports and resources that are related to achieving the individual's life goals



# Community Navigator

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## ➤ COVERABLE ACTIVITIES/TASKS

- Assistance with locating and furnishing residences for the member to rent or own
- Provide education about appropriate accommodation needs
- Supports the Individual in negotiating roommate agreements
- Assistance with development of life related emergency plans

# Resources

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NC Innovations Individual and Family Guide:

<http://www.alliancebhc.org/consumers-families/idd-resources/>

Resource List:

<http://www.alliancebhc.org/consumers-families/idd-resources/idd-resource-list/>

DMA Innovations Webpage:

<https://www2.ncdhhs.gov/dma/lme/Innovations.html>

# Questions?

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If your questions do not get answered today or you think of a new question, please let us know:

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